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2671/8

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

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| | | | |
|---|-----------------------------|-------------------------------|------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/823,441 | |
| | Filing Date | March 30, 2001 | |
| | First Named Inventor | Nougaret, Jean-Luc | |
| | Group Art Unit | 2671 | |
| | Examiner Name | McCartney, Linzy T. | |
| Total Number of Pages in This Submission | 7 | Attorney Docket Number | 72777 [SC162601] |

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| ENCLOSURES (check all that apply) | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Form PTO-1449 - copies of six (6) references - Return Receipt Postcard |
| <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Richard E. Wawrzyniak, Reg. No. 36,048 FITCH, EVEN, TABIN & FLANNERY |
| Signature | |
| Date | 6/5/03 |

| CERTIFICATE OF MAILING | | | |
|--|-----------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: | | | |
| | | | June 5, 2003 |
| Typed or printed name | Pamela M. Stone | | |
| Signature | | Date | June 5, 2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL

for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$180.00

Complete if Known

Application Number 09/823,441
Filing Date March 30, 2001
First Named Inventor NOUGARET, Jean-Luc
Examiner Name McCartney, Linzy T.
Group Art Unit 2671
Attorney Docket No. 72777 [SC162601]

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account
Deposit Account Number 06-1135
Deposit Account Name Fitch, Even, Tabin & Flannery

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 750 | 2001 | 375 | Utility filing fee | |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | \$00.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20**= X =
Independent Claims -7**= X =
Multiple Dependent =

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 | |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid | |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | \$00.00 |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 | 235 | Design issue fee | |
| 1503 | 630 | 2503 | 315 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | \$180. |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |
| SUBTOTAL (3) | | | | | \$180.00 |

SUBMITTED BY

Name (Print/Type) Richard E. Wawzyniak Registration No. 36,048 Telephone 858-552-1811
Signature [Signature] Date 6/5/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Attorney Docket No. 72777 [SC162601]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nougaret et al.

Application No.: 09/823,441

Filed: March 30, 2001

For: METHOD AND APPARATUS FOR
PRODUCING A COORDINATED
GROUP ANIMATION BY MEANS OF
OPTIMUM STATE FEEDBACK, AND
ENTERTAINMENT APPARATUS
USING THE SAME

Group Art Unit: 2671

Examiner: McCartney, Linzy T.

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date.

June 5, 2003
Date

Pamela M. Stone
Pamela M. Stone

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JUN 11 2003
Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir or Madam:

This Information Disclosure Statement is submitted:

- ___ Under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits.
- ___ Under 37 CFR §1.97(c) together with either:
 - ___ a statement under 37 CFR §1.97(e), or
 - ___ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

05/11/2003 CNGUYEN 00000009 061135 09823441
01 FC:1806 130.00 CH

Information Disclosure Statement
U.S. App. No. 09/823,441

X Under 37 CFR §1.97(d) together with either:
 X a statement under 37 CFR §1.97(e), and
 X a fee under 37 CFR §1.17(p) (Fee Transmittal included herewith)
 (after the 37 CFR §1.97(c) time period, but on or before the payment
 of the issue fee).

X Statement under 37 C.F.R. § 1.97(e)(1): The undersigned states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with six (6) references listed as items AA-AF.

Copies of the items listed on the attached Form PTO-1449 are enclosed.

Concise Explanation of Relevance

Items AB and AD listed on the Form PTO-1449 are not in the English language.

A concise explanation of the relevance of items AA, AE and AF is in the form of a copy of a "Partial European Search Report" dated March 31, 2003, issued over the corresponding European Patent Application, that was mailed from a foreign patent office and issued in a counterpart foreign application, which refers to the references. The "Partial European Search Report" mailed March 31, 2003 is listed as item AC and a copy is included herewith.

A concise explanation of the relevance of item AB is in the form of a copy of a Japanese "Notification of Reasons for Refusal" mailed March 25, 2003, issued over the corresponding Japanese Patent Application, that was mailed from a foreign patent office and issued in a counterpart foreign application, which refers to the reference. The Japanese "Notification of Reasons for Refusal" mailed March 25, 2003 is listed as item AD and a copy is included herewith. An English-language translation of portions of the Japanese "Notification of Reasons for Refusal" mailed March 25, 2003 is also included herewith.

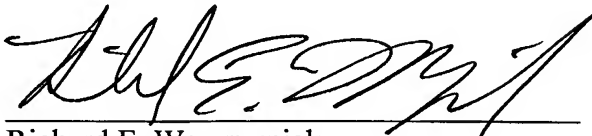
In addition, item AB includes an English-language Abstract.

Information Disclosure Statement
U.S. App. No. 09/823,441

It is requested that the information provided herein be made of record in this application.

Respectfully submitted,

Dated: 6/5/03


Richard E. Wawrzyniak
Reg. No. 36,048

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